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023550 7590 09/24/2004

HOFFMAN WARNICK & D'ALESSANDRO, LLC
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(Depositor's name)
(Signature)
(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/707,756	01/09/2004	Alvin J. Joseph	BUR920030150US1	1755

TITLE OF INVENTION: SELF-ALIGNED RAISED EXTRINSIC BASE BIPOLAR TRANSISTOR STRUCTURE AND METHOD

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$430 \$1370	\$300	\$1630 \$1670	12/27/2004

EXAMINER	ART UNIT	CLASS-SUBCLASS
GEBREMARIAM, SAMUEL A	2811	438-309000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☒ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
- (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1. Anthony J. Canale;
Hoffman, Warnick &
D'Alessandro LLC
 3. _____

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

International Business Machines Corporation Armonk, New York

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee
- ☒ Publication Fee (No small entity discount permitted)
- ☐ Advance Order - # of Copies _____

4b. Payment of Fee(s):

- ☐ A check in the amount of the fee(s) is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment Deposit Account Number 00-0456-1100 (enclose an extra copy of this form).

NO Fee REQUIRED
 DUPLICATE OF
 NOVEMBER 2, 2004 FILING

5. Change in Entity Status (from status indicated above)

☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

Date November 17, 2004

Typed or printed name

Spencer K. Warnick

Registration No. 40,398

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 14 Alexandria, Virginia 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Joseph et al.

Group Art Unit: 2811

Filing Date: January 9, 2004

Application No: 10/707,756

Atty Dkt: BUR920030150US1

Issue Fee Due Date: December 27, 2004

Title: Self-Aligned Raised Extrinsic Base Bipolar Transistor Structure and Method

Mail Stop: ISSUE FEE

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

On November 2, 2004, the issue fee and publication fee were paid for the above-referenced U.S. patent application. The fees were deducted from deposit account 09-0456 on November 3, 2004. However, upon review of the issue fee transmittal receipt, it was noticed that the authorized signature was inadvertently omitted. Therefore, attached is a copy of the unsigned issue fee transmittal that was stamped by OIPE on November 2, a signed copy of the issue fee transmittal marked as **NO FEE** since the fee has already been applied, and a "Fee Address" indication form.

Expedited handling of this paper is requested.

Date: November 23, 2004

Respectfully submitted,

Lynne D. Anderson

Reg. No. 46,412

Program Manager,

USPTO Liaison

IBM Corporation

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